Residential Application of the Viratech™ Model UV 400 Portable Air Purification Unit

By Dr. Wladyslaw Kowalski

Prepared for UV Flu Technology, Yarmouthport, MA

June 2013

Introduction

This report address the application of the Viratech™ Model UV 400 Portable Air Purification Unit to residential environments, including houses and apartments. The UV 400 unit employs three low-pressure mercury UV lamps each rated for 24 Watts of UV output. The lamps are housed inside a cartridge that is coated with the photocatalyst TiO2, which accelerates the breakdown of organic compounds like VOCs (volatile organic compounds) and reduces the concentration of the odors they cause. Air passes through the cartridge and is disinfected by the UV lamps and the air then flows through a carbon-treated gross particulate capture screen that removes large particles. Airflow is driven through the unit by a 210 cfm fan.

Independent laboratory testing has been conducted to determine the efficacy and safety of the UV 400 unit. Inactivation tests on bacteria, viruses, and reduction of odors and VOCs were completed at Northeast Laboratories in Berlin, CT, in accordance with EPA and FDA guidelines. The ViraTech UV400 model air cleaner has a demonstrated ability to disinfect air and inactivate a wide range of bacterial pathogens including *Staphylococcus aureus* (MRSA), *Pseudomonas*, and *Klebsiella* as has been conclusively demonstrated in independent laboratory tests. The UV400 produces a germicidal UV exposure dose of 41 J/m², as independently verified by biodosimetric testing, which is sufficient to reduce pathogenic bacterial populations by at least 99%. The UV exposure dose of 41 J/m² correlates with a UVGI Rating Value of URV 15. ETL certification utilizing the UL 507 Standard for Electric Fans was conducted by Intertek Testing Services in Cortland, NY.

In this report the various bacteria, fungi and viruses that may occur inside residences are identified along with their physical characteristics that determine their filterability and UV susceptibility.

Residential Airborne Pathogens

Pathogens in residential homes include viruses and bacteria that come from occupants, including fungal spores that come from the environment and that may grow inside the house, and environmental bacteria. Table 1, Standard Test Array for Air Disinfection Systems, summarizes all the major airborne pathogens that may occur inside houses and apartments, arranged in order from smallest to largest. Table 1 shows the removal rates for UV air disinfection systems rated URV 6 through URV 15. URV stands for UVGI Rating Value and the UV dose ranges for these URV systems are shown in Appendix A, which also provides matching filter recommendations. The UV 400 unit qualifies as an URV 15 system and this rating is highlighted in the final column of Table 1. The URV 15 column represents the single-pass removal rates of these pathogens through the UV 400.

The Standard Test Array is used for evaluating the performance of air disinfection systems and includes pathogens likely to occur indoors in homes, but excludes various pathogens that are unique to health care, agriculture, and other non-residential facilities. Included in this list is the emerging pathogen MERS (Middle East Respiratory Syndrome) virus, which is physiologically identical to the Coronavirus (or SARS virus) and which is likely to be just as susceptible to UV irradiation as the Coronavirus, which is here used as a surrogate for UV inactivation.

The average UV rate constant for each of the microbial groups is 0.0742 m²/J for viruses, 0.1768 m²/J for bacteria, and 0.0109 m²/J for fungal spores. These values will be used for the simulation of the model room.

Table 1: Standard Test Array of Residential Pathogens for UV Air Disinfection

	andard Test Array of Residential Pathogens for UV Air Disinfection Type UVGI k UVGI Removal %									
Microbe	Type	UVGI k	LIDVAG	LIDVA	1101/110				115) (4.4	110) (45
		m²/J	URV 6	URV 8	URV 10	URV 11	URV 12	URV 13	URV 14	URV 15
Parvovirus H-1	V	0.09200	7	13	37	60	75	84	94	97
Echovirus 1	V	0.02878	2	4	13	25	35	44	58	68
Coxsackievirus	V	0.11100	8	15	43	67	81	89	96	99
Murine Norovirus (MNV)	V	0.03040	2	4	14	26	37	46	60	70
Reovirus	V	0.00940	1	1	5	9	13	17	25	31
Adenovirus	V	0.03900	3	6	18	32	44	54	69	79
Influenza A virus	V	0.11900	9	16	45	70	83	91	97	99
Avian Influenza virus	V	0.10600	8	15	41	65	80	88	96	99
Coronavirus (SARS)	V	0.01000	1	1	5	10	14	18	26	33
Coronavirus (MERS)	V	0.01000	1	1	5	10	14	18	26	33
Mycoplasma pneumoniae	В	0.27910	19	34	75	94	98	100	100	100
Neisseria catarrhalis	В	0.05233	4	8	23	41	54	65	79	88
Francisella tularensis	В	0.00900	1	1	4	9	13	16	24	30
Newcastle Disease Virus	V	0.14400	10	19	51	76	88	94	99	100
Coxiella burnetii	В	0.15350	11	21	54	78	90	95	99	100
Haemophilus influenzae	В	0.17700	12	23	59	83	93	97	100	100
Proteus vulgaris	В	0.07675	6	11	32	54	68	78	90	95
Vaccinia virus	V	0.16040	11	21	55	80	91	96	99	100
Measles virus	V	0.10510	8	15	41	65	79	88	96	99
Proteus mirabilis	В	0.28900	19	35	76	94	99	100	100	100
Pseudomonas aeruginosa	В	0.57210	35	58	94	100	100	100	100	100
Legionella pneumophila	В	0.19298	13	25	62	85	94	98	100	100
Rickettsia prowazekii	В	0.17600	12	23	59	83	93	97	99	100
Serratia marcescens	В	0.28670	19	35	76	94	99	100	100	100
Mycobacterium tuberculosis	В	0.47210	30	51	91	99	100	100	100	100
Klebsiella pneumoniae	В	0.05480	4	8	24	42	56	67	81	89
Corynebacterium diphtheriae	В	0.07010	5	10	30	50	65	75	88	94
Burkholderia cenocepacia	В	0.03956	3	6	18	33	45	55	69	79
Listeria monocytogenes	В	0.01480	1	2	7	14	20	26	36	45
Yersinia enterocolitica	В	0.15351	11	21	54	78	90	95	99	100
Staphylococcus aureus	В	0.11300	8	16	43	68	82	90	97	99
Staphylococcus epidermis	В	0.16210	11	22	56	80	91	96	99	100
Streptococcus pyogenes	В	0.81100	46	70	98	100	100	100	100	100
Bacillus anthracis spores	BS	0.01988	1	3	9	18	26	33	45	55
Nocardia asteroides	В	0.00822	1	1	4	8	12	15	22	28
Acinetobacter baumannii	В	0.12800	9	17	47	72	85	92	98	99
Enterobacter cloacae	В	0.03598	3	5	16	30	42	51	66	76
Aeromonas	В	0.20310	14	26	64	87	95	98	100	100
Penicillium chrysogenum	FS	0.00434	0	1	2	4	6	8	12	16
Aspergillus niger	FS	0.00058	0	0	0	1	1	1	2	2
Candida albicans	F	0.00515	0	1	3	5	7	10	14	19
Cryptococcus neoformans	FS	0.01670	1	2	8	15	22	28	39	49
Trichophyton rubrum	FS	0.00411	0	1	2	4	6	8	12	15
Clostridium tetani	В	0.04699	3	7	21	37	51	61	76	85
Stachybotrys chartarum	FS	0.00041	0	0	0	0	1	1	1	2
Scopulariopsis brevicaulis	FS	0.00344	0	1	2	3	5	7	10	13
Ustilago zeae	FS	0.06580	5	9	28	48	63	73	86	93
Rhizopus nigricans	FS	0.00861	1	1	4	8	12	16	23	29
Mucor mucedo	FS	0.00384	0	1	2	4	6	7	11	14
Cladosporium herbarum	FS	0.00384	0	1	2	4	5	7	11	14
Blastomyces dermatitidis	F	0.00370	1	2	8	15	22	28	39	48
Fusarium oxysporum	FS	0.01045	1	1	4	8	12	16	23	30
, .					V: Virus.				20	00

NOTES: B: Bacteria, BS: Bacterial Spore, V: Virus, F: Fungi, FS: Fungal Spore

A Model Residential Room

In order to evaluate the performance of the UV 400, and other air disinfection units, it is necessary to define a model residential room that includes standard airflow characteristics. Typical modern houses and apartments include forced air ventilation that may result in several air changes per hour. Naturally ventilated houses have unknown airflow rates that depend on the wind, leakage, and number of open windows. In this report we only address houses with forced ventilation.

Assuming that the model room has 210 sq. ft. of floor area, we would expect a typical forced air system to provide about 4 air changes per hour. With an 8 foot ceiling this equates to a volume of 1680 cu.ft. (47.6 m^3) and there will be 4 x 47.6 = 190 m³ per hour of air exchanged or 3.17 m³/min (112 cfm). A nominal outside air of 25% produces 28 cfm of fresh air. The UV 400 unit has an airflow of 210 cfm or 5.95 m³/min for an ACH of 7.5. Figure 1 illustrates the model room with a UV 400 placed inside.

Inlet Air Outlet Air 3.17 m³/min 5.95 m³/min Floor Area: 210 ft² Volume: 47.6 m³

Figure 1: A model residential room with 210 ft² of floor area and an 8 ft ceiling.

In order to determine how well the UV 400 unit performs in this model environment it is necessary to assume some initial level of microbial contamination in the air, both inside and outside. Indoor microbial contamination hails from the occupants, pets, and from accumulated mold spores that may be embedded in rugs and furnishings or that may be growing in damp areas or in ducts. Outdoor contamination consists of fungal spores and environmental bacteria that are brought in with the outdoor air.

Let us assume an initial concentration of 1000 cfu/m³ of bacteria and 1000 cfu/m³ of fungal spores. These values are similar to actual measured values

inside homes and the bacterial value is a suggested upper limit for indoor contamination (Kowalski 2003).

For the Outside Air model, let us assume 25% of the outside air is fresh and the rest recirculated. We will assume that outdoor air had 600 cfu/m³ of fungal spores and 100 cfu/m³ of environmental bacteria. There are no viruses in the outdoor air. We will also assume that Table 1 represents the mixture of indoor pathogens and that the bacteria and fungi in Table 1 represents the mixture of outdoor microbes.

Table 2 summarizes the input data for the residential room model, including input data for the full recirculation model (no outside air) and the simulation with a total room airflow (not counting the UV 400) of 112 cfm and 25% outside air.

Table 2: Input Data for Residential Room Model

Viratech UV 400	UV Dose	41	J/m ²
	Airflow	5.94657	m³/min
	Airflow	210	cfm
	ACH	7.5	
Model Room	Floor Area	210	ft2
	Height	8	ft
	Volume	1680	ft3
	Volume	47.6	m ³
	Total Airflow	112	cfm
	Total Airflow	3.171504	m³/min
	OA %	25	
	OA Flowrate	28	cfm
	OA Flowrate	0.792876	m³/min
	ACH	4	
	OA ACH	1.0	
Indoor Microbes	Bacteria	1000	cfu/m ³
(Initial Concentration)	Viruses	10,000	cfu/m ³
	Fungi	1000	cfu/m ³
Outdoor Microbes	Bacteria	100	cfu/m ³
	Viruses	0	cfu/m ³
	Fungi	600	cfu/m ³
Average k Value	Bacteria	0.1768	m ² /J
	Viruses	0.0742	m ² /J
	Fungi	0.0109	m ² /J
Average Kill Rate	Bacteria	99.9	%
(UV 400 Single Pass)	Viruses	95.2	%
	Fungi	36.1	%

Two simulations will be performed using the UV 400 unit operating in the room, one without any outside air, and one with outside airflows as detailed in Table 2.

In-Place Performance in a Model Residential Room

Table 2 shows the input data for the first room model, in which there is no outside air and the air cleaning is entirely performed by the UV 400 unit. It is also assumed the rooms are unoccupied and no internal generation of microbes occurs. A minute-by-minute analysis was performed using the assumption of complete mixing of air, which is reasonable for open areas.

Results show the initial microbial concentrations are reduced to zero within about 3 hours. Figure 2 illustrates the rate at which airborne microbial concentrations are reduced over time for each of the three microbial groups. The summation of the three groups, the Total Microbes, decreases to unitary values after about 2.5 hours. Bacteria and viruses are removed at similar rates while the hardier fungal spores are removed more slowly due to their inherent resistance to UV irradiation. Because the air is exchanged through the UV 400 at about 7.5 air changes per hour, fungal spores may experience some 20 passes through the unit after 2.5 hours and this is sufficient to reduce their population to single digits.

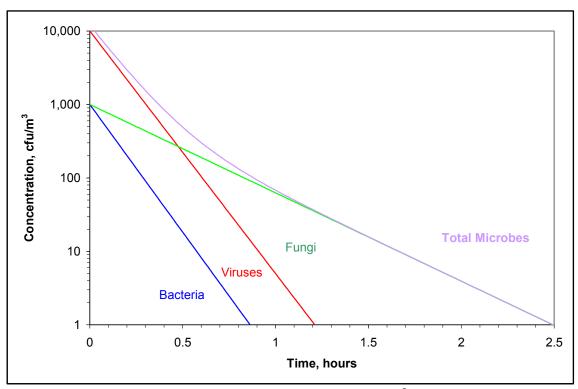


Figure 2: In-place performance of the UV 400 in a 210 ft² room with no outside air and initial concentrations of microbes as indicated.

The previous simulation ignored the addition of microbes to the room, which may occur from outside air and building leakage, and also from occupants and internal generation of mold spores (i.e. in problem buildings). In the next simulation it is assumed that the outside air contains environmental bacteria and fungal spores that are brought into the room unfiltered. With 112 cfm total airflow assumed and 25% outside air, this equates to 28 cfm of fresh air.

Figure 3 illustrates the results of the model room simulation. Since fungi and bacteria are continually being added to the room form unfiltered outside air, there is a steady-state limit to which indoor levels can be reduced. The steady-state condition will leave about 13 cfu/m³ of bacteria and 222 cfu/m³ of fungi, neither of which are considered hazardous levels. Since no viruses come from outdoor air, these values are reduced to unitary values from the initial condition.

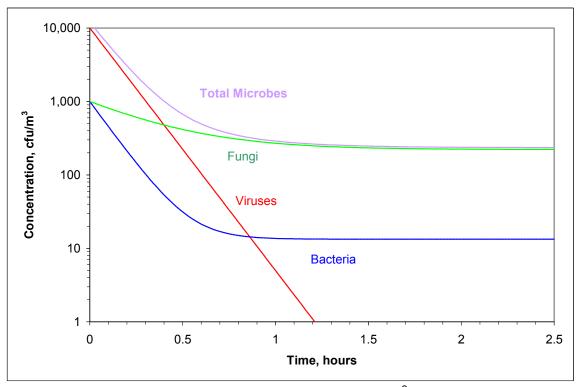


Figure 3: In-place performance of the UV 400 in a 210 ft² room with 25% outside air and initial concentrations of microbes as indicated.

References and Bibliography

- Abe, K. (1996). "Evaluation of fungal growth at the air outlet and inlet of air conditioners." *The 7th Internationla Conference on IAQ and Climate*, Nagoya, Japan, 185-190.
- AIA (1993). *Designing Healthy Buildings: Indoor Air Quality.* American Institute of Architects, Washington, DC.
- Amman, H. M. (2001). "Is Indoor Mold Contamination a Threat to Health?"
- ASHRAE (2001). "Standard 62: Ventilation for acceptable indoor air quality.", ASHRAE, Atlanta. Batterman, S. A., and Burge, H. (1995). "HVAC systems as emission sources affecting indoor air quality: a critical review." *HVAC&R Res* 1(1), 61-80.
- Berglund, B., Berglund, U., and Lindvall, T. (1984). "Characterization of indoor air quality and 'Sick Buildings'." *ASHRAE Trans* 90(1B), 1045-1055.
- Brown, S. K., Sim, M. R., and Abramson, M. (1994). "Concentrations of volatile organic compounds in indoor air -- a review." *Indoor Air* 4, 123-134.
- Brunekreef, B., Douwes, J., Doekes, G., and vanStrien, R. (1999). "Health effects of mould and bacterial components in the home environment." *Indoor Air* 99: *Proceedings of the 8th International Conference on Indoor Air Quality and Climate*, Edinburgh, Scotland, 897-898.
- Burge, H. (1990). "Bioaerosols: Prevalence and health effects in the indoor environment." *J Allerg Clin Immunol* 86(5), 687-781.
- Byrd, R. R. (1996). "Prevalence of microbial growth on cooling coils of commercial air-conditioning systems." *The 7th International Conference on IAQ and Climate*, Nagoya, Japan, 203-207.
- Cole, E. C., Foarde, K. K., Leese, K. E., Franke, D. L., and Berry, M. A. (1993). "Biocontaminants in carpeted environments." *Indoor Air* 93, Helsinki, Finland
- Cook, C. E., Cole, E. C., Dulaney, P. D., and Leese, K. E. (1999). "Reservoirs for opportunistic fungi in the home environment: A guide for exposure reduction in the immunocompromised." *Indoor Air 99 : Proceedings of the 8th International Conference on Indoor Air Quality and Climate*, Edinburgh, Scotland, 905-910.
- Dales, R. E., and Miller, D. (1999). "Residential Fungal Contamination and Health: Microbial Cohabitants as Covariates." *Environ Health Perspect* 107(Suppl. 3), 481-483.
- Flannigan, B., McEvoy, E. M., and McGarry, F. (1999). "Investigation of airborne and surface bacteria in homes." *Indoor Air* 99: *Proceedings of the 8th International Conference on Indoor Air Quality and Climate*, Edinburgh, Scotland, 884-889.
- Flannigan, B., Samson, R. A., and Miller, J. D. (2001). "*Microorganisms in home and indoor work environments*." Taylor and Francis. Andover, Hants, UK.
- Ginestet, A., Mann, S., Parat, S., Laplanche, S., Salazar, J. H., Pugnet, D., Ehrler, S., and Perdrix, A. (1996). "Bioaerosol filtration efficiency of clean HVAC filters and shedding of micro-organisms from filters loaded with outdoor air." *J Aerosol Sci* 27(Suppl 1), S619-S620.
- Goswami, D. Y., Trivedi, D. M., and Block, S. S. (1997). *Photocatalytic disinfection of indoor air* Transactions of the ASME -- Solar Engineering ASME, ed., 92-96.
- Kemp, S. J., T.H.Kuehn, D.Y.H.Pui, D.Vesley and A.J.Streifel (1995). "Filter collection efficiency and growth of microorganisms on filters loaded with outdoor air." *ASHRAE Transactions* 101(1), 228.
- Kowalski, W. J., W. P. Bahnfleth, T. S. Whittam (1999). "Filtration of Airborne Microorganisms: Modeling and prediction." *ASHRAE Transactions* 105(2), 4-17. http://www.engr.psu.edu/ae/wjk/fom.html.
- Kowalski, W. J., Bahnfleth, W. P., Witham, D. L., Severin, B. F., and Whittam, T. S. (2000). "Mathematical modeling of UVGI for air disinfection." *Quantitative Microbiology* 2(3), 249-270.
- Kowalski, W. J., and Bahnfleth, W. P. (2004). "Proposed Standards and Guidelines for UVGI Air Disinfection." *IUVA News* 6(1), 20-25.
- Kowalski, W. J. (2006). Aerobiological Engineering Handbook: A Guide to Airborne Disease Control Technologies. McGraw-Hill, New York.

- Kowalski, W. J. (2009). *Ultraviolet Germicidal Irradiation Handbook: UVGI for Air and Surface Disinfection*. Springer, New York.
- Kulmala, M., Asmi, A., and Pirjola, L. (1999). "Indoor air aerosol model: The effect of outdoor air, filtration and ventilation on indoor concentrations." *Atmos Environ* 33(14), 2133-2144.
- Lee, S. C., Li, W.-M., and Ao, C.-H. (2002). "Investigation of indoor air quality at residential homes in Hong Kong -- case study." *Atmos Environ* 36, 225-237.
- Li, C., and Y.Kuo (1992). "Airborne characterization of fungi indoors and outdoors." *Journal of Aerosol Science* 23(S1), s667-s670.
- Li, D.-W., and Kendrick, B. (1995). "A year-round comparison of fungal spores in indoor and outdoor air." *Mycologia* 87(2), 190-195.
- Meldrum, J. R., O'Rourke, M. K., Boyer-Pfersdorf, P., and Stetzenbach, L. D. (1993). "Indoor residential mold concentrations as represented by spore and colony counts." *IAQ '93*, Helsinki, 189-194.
- Morey, P. R., Feeley, J. C., and Otten, J. A. (1990). *Biological Contaminants in Indoor Environments*. ASTM, Philadelphia.
- Nevalainen, A., Reponen, T., Heinonen-Tanski, H., and Raunemaa, T. (1991). *Indoor air bacteria in apartment homes before and after occupancy* IAQ '91, Healthy Buildings/IAQ '91, Washington
- Obee, T. N., and Brown, R. T. (1995). "TiO2 photocatalysis for indoor air applications: Effects of humidity and trace contaminant levels on the oxidation rates of formaldehyde, toluene, and 1,3 butadiene." *Environ Sci Technol* 29(5), 1223-1231.
- Offerman, F. J., Loiselle, S. A., and Sextro, R. G. (1991). *Performance comparison of six different air cleaners installed in a residential forced-air ventilation system* IAQ '91, Healthy Buildings/IAQ '91, Washington
- Pasanen, A.-L. (1992). "Airborne mesophilic fungal spores in various residential environments." Atmos Environ 26A(16), 2861-2868.
- Reindl, D. T. (1998). "Impacts of Airborne Viruses on Indoor Environments." *3rd Annual Conference on Bioaerosols*, Saratoga Springs, NY,
- Ren, P., and Leaderer, D. C. (1999). "The nature and concentration of fungi inside and outside homes." *Indoor Air* 99: *Proceedings of the 8th International Conference on Indoor Air Quality and Climate*, Edinburgh, Scotland, 930-934.
- Reponen, T. U. o. K. L., M.; Raunemaa, T.; Nevalainen, A. (1992). "Effect of indoor sources on fungal spore concentrations and size distributions." *J Aerosol Sci* 23(SUPPL 1), S663-S666.
- Reynolds, S. J., Streifel, A. J., and McJilton, C. E. (1990). "Elevated airborne concentrations of fungi in residential and office environments." *Am Ind Hyg Assoc J* 51, 601-604.
- Rizzo, M., Wadden, R., Scheff, P., and Curtis, L. (1997). "Determination of the contribution of outdoor fungi to indoor bioaerosol concentrations." *Air & Waste Management Association's 90th Annual Meeting & Exhibition*, Toronto, Can
- Shaughnessy, R. J., Levetin, E., and Sublette, K. (1993). "Effectiveness of portable indoor air cleaners in particulate and gaseous contaminant removal." *Indoor Air '93*, Helsinki, Finland
- Shelton, B. G., Kirkland, K. H., Flanders, W. D., and Morris, G. K. (2002). "Profiles of airborne fungi in buildings and outdoor environments in the United States." *Appl Environ Microbiol* 68(4), 1743-1753.
- Speiser, R. (2006). "Portable air purifiers for airborne infection control." *Indoor Environment Connections* 7(12)
- Verhoeff, A. P., VanWijnen, J. H., Brunekreef, B., Fischer, P., VanReenen-Hoekstra, E. S., and Samson, R. A. (1992). "The presence of viable mould propagules in indoor air in relation to home dampness and outdoor air." *Allergy* 47, 83-91.